

16076 U.S. PTO  
07/29/03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

BOOKET NUMBER	ANTICIPATED CLASSIFICATION OF THIS APPLICATION:		PRIOR APPLICATION	
	CLASS	SUBCLASS	EXAMINER	ART UNIT
13033.4USC3			UNKNOWN	3736

**CERTIFICATE UNDER 37 CFR 1.10:**

"Express Mail" mailing label number: EV347849977US  
Date of Deposit: July 29, 2003

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

By:

Name: John Junkers

CONTINUATION APPLICATION UNDER 37 C.F.R. § 1.53(b)

17858 U.S. PTO  
10/629145  
07/29/03

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

This is a request for filing a continuation application under 37 CFR § 1.53(b) of Serial No. 10/394,887, filed on March 21, 2003 entitled METHOD AND APPARATUS TO TREAT CONDITIONS OF THE NASO-PHARYNGEAL AREA by the following inventor(s):

Full Name Of Inventor	Family Name	First Given Name	Second Given Name
	KNUDSON	MARK	B.
Residence & Citizenship	City	State or Foreign Country	Country of Citizenship
	SHOREVIEW	MINNESOTA	USA
Post Office Address	Post Office Address	City	State & Zip Code/Country
	1309 WEST ROYAL OAKS DRIVE	SHOREVIEW	MINNESOTA 55126/USA
Full Name Of Inventor	Family Name	First Given Name	Second Given Name
	TWEDEN	KATHERINE	S.
Residence & Citizenship	City	State or Foreign Country	Country of Citizenship
	MAHTOMEDI	MINNESOTA	USA
Post Office Address	Post Office Address	City	State & Zip Code/Country
	1175 ASHLEY LANE	MAHTOMEDI	MINNESOTA 55115/USA
Full Name Of Inventor	Family Name	First Given Name	Second Given Name
	CONRAD	TIMOTHY	R.
Residence & Citizenship	City	State or Foreign Country	Country of Citizenship
	EDEN PRAIRIE	MINNESOTA	USA
Post Office Address	Post Office Address	City	State & Zip Code/Country
	6903 ROS EMILY LANE	EDEN PRAIRIE	MINNESOTA 55344/USA

- ☒ Enclosed is a copy of the prior application; including the specification, claims, drawings, a signed oath or declaration, and any amendments referred to in the oath or declaration filed to complete the prior application. (It is noted that no amendments referred to in the oath or declaration filed to complete the prior application introduced new matter therein.) The continuing application is as follows: 11 pages of specification, 11 claims, 1 page of abstract, 6 sheets of formal drawings, and 4 pages of oath or declaration.

- ☒ The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
2. ☐ Cancel original claims of this application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
3. ☒ The filing fee is calculated below:

**CLAIMS AS FILED**

NUMBER FILED	NUMBER EXTRA		RATE	FEE
<b>TOTAL CLAIMS:</b>				
5 -20	0	x	\$9.00	0.00
<b>INDEPENDENT CLAIMS</b>				
1 -3	0	x	\$42.00	0.00
			<b>BASIC FILING FEE:</b>	\$375.00
			<b>TOTAL FILING FEE:</b>	\$375.00

- ☒ Small entity status is claimed pursuant to 37 CFR 1.27.
4. ☒ Payment of fees:  
☒ Attached is a check in the amount of \$375.00  
☐ Please charge Deposit Account No. 13-2725.
5. ☒ The Commissioner is hereby authorized to charge any additional fees as set forth in 37 CFR §§ 1.16 to 1.18 which may be required by this paper or credit any overpayment to Account No. 13-2725.
6. ☐ Amend the specification by inserting before the first line the sentence:
7. ☐ A set of formal drawings ( sheets) is enclosed.
8. ☐ Priority of application Serial No. , filed on in , is claimed under 35 U.S.C. 119.  
☐ The certified copy has been filed in prior application Serial No. , filed .
9. ☒ The prior application is assigned of record to Restore Medical, Inc. located at St. Paul, Minnesota.
10. ☒ The Power of Attorney in the prior application is to:  

Merchant & Gould P.C.  
Minneapolis, MN 55402-2215
11. ☒ A preliminary amendment is enclosed. (Claims added by this amendment have been properly numbered consecutively beginning with the number next following the highest numbered original claim in the prior application.)  
☐ Fee for excess claims is attached.

12. ☐ A petition and fee has been filed to extend the term in the prior application until . A copy of the petition for extension of time in the prior application is attached.
13. ☐ The inventor(s) in this application are less than those named in the prior application and it is requested that the following inventors identified above for the prior application be deleted:
14. ☐ A Nonpublication Request under 37 CFR 1.213(a) is enclosed.
15. ☒ Also Enclosed: Information Disclosure Statement, Form 1449 and 1 reference
16. ☒ Address all future communications to the **Attention of Nancy J. Parsons** (may only be completed by attorney or agent of record) at the address below.
17. ☒ A return postcard is enclosed.

Respectfully submitted,

MERCHANT & GOULD P.C.  
P.O. Box 2903  
Minneapolis, MN 55402-0903  
612.332.5300



Date:

7/29/03

Nancy J. Parsons

Nancy J. Parsons  
Reg. No. 40,364  
NParsons:PSTkaw